

DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION  
OFFICE OF THE GENERAL COUNSEL

**Proposed Rule: Is a SERC Required**

Division: Professions

Rule: 61G20-3.015 Equivalence of Standards

**Please remember to analyze the impact of the rule, NOT the statute, when completing this form.**

I. Adverse Impact Determination

a. Economic? (Check all that apply.)

- Increased fees to be paid by licensee, applicant, registrant, etc.  
 Increased costs of doing business (equipment, software, etc.)  
 Increased personnel costs (additional employees, insurance, overtime, training, etc.)  
 Decreased opportunity for profit (limits on fees, scope of business/practice, ability to partner with others, etc.)

b. Non-economic? (Check all that apply.)

- Increased time and effort to comply (forms, tests, etc.)  
 Increased need for specialized knowledge (legal, technical, etc.)

If any of the above boxes are checked, answer "Yes," then continue to the next section. If no boxes are checked, answer "No," and skip to Section III below.  Yes  No

II. Small Business Determination

a. Are any of the affected entities a "small business?" (Check all that apply.)

- 200 or less **permanent full-time** employees;  
 Net worth less than \$5 million (including value of affiliates);  
 Independently owned and operated (NOT a subsidiary of another entity); **AND**,  
 Engaged in a commercial enterprise?

If **ALL** of the preceding boxes are checked, answer "Yes," and skip to Section III below.

If you did not check **ALL** of the above boxes, check "No," then continue to the next qualification.

Yes  No

b. Small Business Certification

- Does any affected entity have Small Business Administration 8(a) certification?  
 Yes (see, [www.ccr.gov](http://www.ccr.gov))  No

If the answers to I and II are "Yes," the agency must prepare a SERC.

III. Regulatory Cost Increase Determination

Direct:

- a. Increased Regulatory Cost: 0  
b. Number of Entities Impacted: 13 out of 198  
c. Multiply a. times b.: 0  
d. Is c. greater than \$200,000?  Yes  No

Indirect:

- e. Any ascertainable indirect costs?  Yes  No  
f. Amount of Indirect Cost: 0  
g. Number of Entities Impacted: 13 out of 198  
h. Multiply g. times f.: 0  
i. Is h. greater than \$200,000?  Yes  No  
j. Is h. plus c. greater than \$200,000?  Yes  No

If the answer to d., i., or j. is "Yes," the agency must prepare a SERC.

Prepared By: Leslie O. Anderson-Adams

Date: April 16, 2012

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**To be certified by the agency head, if the agency is within the purview of the Governor; otherwise, certified by the agency's legal counsel or other appropriate person.**

Is a SERC required?  Yes  No

Name: \_\_\_\_\_  
(Print Name)

\_\_\_\_\_  
(Signature)

Title: \_\_\_\_\_

Date: \_\_\_\_\_

Phone: \_\_\_\_\_